



Organisation:	SFM Environmental Solutions Pty Ltd SFM Forest Products
Dates of review:	6 - 8 August 2018
Type of review:	Post Certification Review
Site visited:	Head Office: 70 Hampden Road Battery Point Note: Mt Gambier and Launceston offices, remote by phone interview
Certification standard:	AS 4708:2013
Scope of activities within the scope of certification (short description):	All native forest and plantation forest management activities in the Tasmanian and Victorian FMUs of the DFA.
Scope of the audit / review:	In accordance with the Post Certification Plan, elements of: Criterion 1 - the management system Criterion 2 - stakeholders Criterion 5 - forest ecosystem health Some elements of Criterion 9 - social and economic benefits
Names of review team members:	Jonathan Tibbits
Name of Global-Mark Client Manager, and signatory to this report:	Jonathan Tibbits
Brief description of the FMUs [Forest Management Units]:	FMUs include native forest, eucalypt plantation (Tas), pine plantation (Tas), salvage operation, bluegum plantation (Green Triangle).
Brief description of the DFA [Defined Forest Area] including its area as advised to the Scheme Owner (including updates):	DFA includes estates in the Green Triangle and Tasmania, including Hydrowood lake salvage.
Guidance as to how to obtain further details of the DFA may be accessed:	Maps are available for download online via the organisation's website, http://www.sfmes.com.au/
Exclusions to the standard/management system:	Nil
Name of Management Representative:	Leanne Chappell

Review team declaration:

We confirm that for the purpose of this review:

- We did not have any conflict of interest with and is fully independent from the company listed above
- We had the review team has sufficient resources, and competences to complete its review and reach its conclusions,
- We had the appropriate credentials to perform this review in accordance with Global-Mark and applicable accreditation requirements.

Comment and disclaimer on this report:

- This report does not constitute advice. Please consult a qualified advisor or consultant for advice.
- Due to the sampling nature of third party business reviews, the time available and samples size, some issues, non-compliances or improvements might not have been identified in the present report. This does not imply that these issues do not exist, or are in compliance. Employees, management and other stakeholders of the organization need to and are responsible for, continuously identifying and taking necessary controls to ensure continued compliance with the standard(s), and improvement.
- Readers of this report should make judgement taking the above into account.
- The report is confidential, and is owned by the organization listed above, Global-Mark Pty Ltd and the review team members who participated in its preparation. The summary of it is publicly available through Global-Mark.
- Global-Mark reserves the right to make this report available to regulators, and/or funding providers if requested.



Attendance to opening and exit meeting

Names	Opening meeting	Closing meeting
Jonathan Tibbits, Global-Mark Auditor	Yes	Yes
Leanne Chappell, as systems representative	Yes	Yes
Joel Turner (By phone)	Yes	Yes
Brett Stevenson	No	Yes
Jason Bolch	Yes	Yes

1 Review team conclusions

In accordance with the Post Certification Plan, this audit (Post Certification #3) was conducted from the 6th to 8th of August 2018, with visits to head office (Hobart) and various randomly selected sites within the organisation's DFA. Also in accordance with the plan was a set duration for one auditor of 2.5 days on site.

Some general comments can be made about the systems implementation and the sites visited during the audit:

- Great road conditions observed for an audit during winter. There were some minor wet and boggy areas, as would be expected. Compliance with the code was observed.
- There were some really good examples of sites with seedlings and coppicing following the selective thinning operations.
- In accordance with the closure of a previous audit finding there is a new policy for dogs on site.
- It is noted that there is a roll out of new expectations for spill kits document.

Documents and records were sampled at random to verify that processes and procedures are being implemented in accordance with the documented system.

During site visits FPPs and other operational documents were presented as requested. First aid kits, spill kits and fire extinguishers were made available for inspection by the contractors on site.

Comments relating to each element of the standard have been documented in section 3 of this report. Findings raised during the review have been identified within this report and the numbers shown in the compliance column indicate the corresponding number on the review findings register. Findings are also available in the review findings spread sheet (separate to this report).

The content of this report applies only to matters that were evident to the reviewer at the time of the review.

Please note that this report is (and has been) subject to independent compliance review and approval.

We believe that the organisation listed above at the site(s) listed above has the capability to systematically meet the requirements of the Australian Forestry Standard and for the activities/products and sites listed on the Global-Mark scope of certification.

Mandatory reporting checks	Audit team leader comments
What is their DFA category for PEFC notification fee schedule?	The DFA category is in the 5,000 to < 25,000 hectares range.
Certificate includes a brief description of the geographic localities of the FMUs comprising the DFA in the scope of certification.	Yes - Native forest and plantation forest management activities within the Defined Forest Area (DFA). The DFA to which this certification applies is listed in the "DFA Register" comprising all estates managed by SFM in the Green Triangle and Tasmania, including Hydrowood lake salvage. Summaries of the Forest Management Plan and audit reports are available from the company's website.
Certificate includes address for obtaining more information on the DFA (i.e. Summary Reports, DFA maps etc.).	Yes - as above.
Client has maintained records of all communications including complaints and corrective action taken in accordance with the requirements of AS 4708, and these were available Global-Mark on request. This includes correspondence, recommendations and actions documented by the Scheme Owner.	Yes, this has been confirmed.



Mandatory reporting checks	Audit team leader comments
If there was any breach of regulatory requirements within the scope of certification to AS 4708, did the client notify Global-Mark in writing within five business days?	No breaches.
How does the client maintain a DFA register used that has details of all separately described blocks or forest management units?	Maintained as a spread sheet, updated quarterly.
How does the client maintain a system that can track additions and/or deletions to the DFA between audits and that can provide areas and maps of any changes?	Maintained as a spread sheet, updated quarterly. This process is managed by the systems/certification manager. Maps are updated by a consultant.
Have there been any significant changes to the DFA and if so when did they advise Global-Mark?	No major functional changes to operation types or FMUs. Some blocks have been removed and others have been added.
How does and when did the client provide the Scheme Owner [Responsible Wood] with an annual statement confirming the current DFA?	Provided to Responsible Wood (and Global-Mark) by email quarterly. This process remains unchanged.
How would Global-Mark summarise the most important observations, positive, negative, regarding the implementation and effectiveness of the client's forest management system and including considerations of compliance risks arising from activities of relevant stakeholders?	The system continues to be effective. Refer to review team conclusions.
What are any applicable qualifications for scale and intensity, as referred to in AS 4708 (as applicable)?	No comments on applicable qualifications for scale and intensity.
What sampling methodology was used to determine the size of sample of active blocks?	Based on the data in the DFA spread sheet random blocks were selected within the geographic region, based on availability of field staff, contractors, weather conditions. The sample selected was representative of the FMUs within the DFA.

Mandatory logo use checks	Audit team leader comments
What are the licence registration numbers provided by the Scheme Owner?	The logo numbers are provided PEFC 21-23-21 and RW.
What does the client store the licence agreement with the Scheme Owner?	Maintained on the database.
Where does the client use the PEFC and/or Responsible Wood logos?	Email signature and on sales invoices. May be used on the website or promotional material.
When the client used the PEFC and/or Responsible Wood logos, what essential elements were fulfilled?	Logo use remains unchanged and is considered appropriate.
In on-product and off-product use, how were the Logos reproduced according to the measurements, colours and other specifications detailed in the respective Logo Reproduction Tool Kit?	The new Responsible Wood logo is used in email footers (sighted). Sighted Logo Usage Agreement (fully executed) between Responsible wood and SFM Environmental Solutions, dated 18/7/18 and executed 23/7/2018.
What if any additional voluntary information is included?	No additional information is included.



2 Review teams findings (major, minor non-conformities or observations)

At the conclusion of this review the following presents the status of new and existing findings:

Number of findings:

Finding Details	# Major non-conformities	# Minor non-conformities	# Observations	# Total
Findings from previous audit(s) at start	0	1	4	5
Findings from above closed during this audit	0	1	4	5
New findings opened during this audit	0	1	3	4
New findings closed during this audit	0	1	0	1
Findings open at the end of this audit	0	0	3	3

End of Public Summary Report